



Philip J Milton & Company Plc Managed Self-Invested Personal Pension (SIPP) Transfer Form

Section A - To be completed by the Transferring Member.

Personal Information

Surname	Date of Birth (DDMMYYYY)
Forenames	Marital Status
Title	National Insurance Number
Existing Philip J Milton & Company Plc Managed SIPP Account Number if applicable	
Permanent Residential Address	Previous address (if you have moved in the last two years)

Transferring Scheme Information

Note: If more than one pension scheme arrangement is being transferred, please copy this form and complete for each one.

Full name of the Transferring Scheme	
Transferring Scheme Plan Number	
Existing Pension Provider Name	
Existing Pension Provider Address	
Is this a full or partial transfer? Please tick one option	Full <input type="checkbox"/> Partial <input type="checkbox"/>
Is this a cash transfer or an in-specie transfer of assets? Please tick one option	Cash <input type="checkbox"/> Assets <input type="checkbox"/>
<p>Please note that if in-specie is selected, only the investment funds that are available under the Philip J Milton & Company Plc SIPP can be transferred in-specie. A full in-specie transfer will mean we will proceed with transferring all funds that can be transferred in this way. Any assets in your current pension plan that cannot be transferred in-specie will be sold and transferred as cash. A partial in-specie transfer will mean that only those funds that are available under the Philip J Milton & Company Plc SIPP will be transferred. Any other assets will remain in your current pension plan. There may be a charge with your current pension provider for transferring in-specie.</p>	



Anticipated Amount of Transfer	£
Have you taken benefits from any part of the fund being transferred?	Yes <input type="checkbox"/> No <input type="checkbox"/>
For investors over 55 only: Are you transferring in to enable you to access your benefits immediately?	Yes <input type="checkbox"/> No <input type="checkbox"/>
I confirm that my pension plan is not subject to any existing or proposed divorce, earmarking or pension sharing order, trustee in bankruptcy order or any other type of court order	Yes <input type="checkbox"/> No <input type="checkbox"/>

Declarations

To the transferring scheme:

I authorise and instruct you to transfer funds from the transferring scheme as listed in the “Transferring Scheme Information” section of this form directly to my Philip J Milton & Company Plc SIPP..

I authorise you to release all necessary information to Philip J Milton & Company Plc and Quai Investment Services Limited to enable the transfer of funds to my Philip J Milton & Company Plc SIPP.

I have read all the information provided or made available to me by the transferring scheme as listed in the “Transferring Scheme Details” section of this application.

I accept that in order to comply with regulator obligations, Quai Investment Services Limited and the transferring scheme named in this application may need to verify my identity and residential address and may use credit reference agency services and ask for my documents to verify my identity and address.

Until this application is accepted, and complete, Quai Investment Services Limited responsibility is limited to the return of the total payment(s) to the transferring scheme.

I accept that I will be responsible for any losses / or expenses which are the result , and which a reasonable person would consider to be the probable result, of any untrue, misleading, or inaccurate information deliberately or carelessly given by me, or on my behalf, either in this form or with respect to benefits from the transferring scheme(s).

Where the payment(s) made to my Philip J Milton & Company Plc SIPP represents all of the funds under the transferring scheme(s) listed in the “Transferring Scheme Information” section of this form ,then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from the transferring scheme(s) listed.

Where the payment(s) made to my Philip J Milton & Company Plc SIPP represent part of the fund under the plan(s) listed in the “Transferring Scheme Details” section of this application, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from that part of the transferring scheme(s) represented by the payment.



I promise to accept responsibility in respect of any claims, losses and expenses that my Philip J Milton & Company Plc SIPP and the transferring scheme may incur as a result of any incorrect information provided by me in this application or any failure on my part to comply with any aspect of this application.

I will be solely responsible for any additional tax charges or any penalties which arise if the information provided in this application is incorrect or I have failed to comply with any aspect of this application.

In addition, I promise to accept responsibility in respect of any claims, losses and expenses that Quai Investment Services Limited and the transferring scheme may incur as a result of any incorrect information provided by me in this application or of any failure on my part to comply with my aspect of this application.

Signed

Date

Client Name (In block letters)

Client Reference Number

Philip J Milton & Company Plc is authorised and regulated by the Financial Conduct Authority, Registered in England No 3233275, VAT No 682254428. The Registered Office for Philip J Milton & Company Plc is Choweree House, 21 Boutport Street, Barnstaple, North Devon, EX31 1RP.

Quai Investment Services Limited is authorised and regulated by the Financial Conduct Authority – Firm Reference Number 922590 and Registered in England No 9919243. The Registered Office for Quai Investment Services Limited is Unit 16 Tesla Court, Innovation Way, Peterborough, PE2 6FL.